

17621 U.S. PTO
09/26/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

WITH SIGNED DECLARATION

NONPROVISIONAL
NON REISSUE
NON PCT NAT PHASE

Sir:

Herewith is the PATENT APPLICATION of
Inventor(s): THOMAS P. CASTELLANO

Title **METHOD AND APPARATUS FOR ADMINISTERING A
VACCINE OR OTHER PHARMACEUTICAL**

Atty. Dkt.: P 304309 | 304309
M# Client Ref

including:

Date: September 26, 2003

1. Specification: 15 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 28 numbered claims
4. ☒ Drawings: 5 sheet(s) ☐ informal; ☒ formal of size: ☐ A4 ☒ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 6 or 7). (Prior M# SN)
6. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. / filed
No. / filed
No. / filed
No. PCT / filed, which

designated the US.

7. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US application(s)

8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

9. ☒ Assignee PENJET CORPORATION

Address 710 San Vicente Blvd., Santa Monica, CA 90402

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

11. (country)

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3rd page for additional priorities	

12. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) filed on
in U.S. Application No. /

16235 U.S. PTO
10/672714
092603

13. Small entity status → ☒ is **not** claimed; ☐ is claimed (Pre-filing confirmation required)
 13(a). ☐ Attached: _____ (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)
 13(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)
 14. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.
 16. ☐ Attached:
 17. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$750/\$375	\$750	101/201
19. Total Effective Claims	28	minus 20 =	*8	x \$18/\$9 =	+ 144	103/203
20. Independent Claims	3	minus 3 =	*0	x \$84/\$42 =	+ 0	102/202
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present , add (Leave this line blank if this is a reissue application)				+ \$280/\$140	+ 0	104/204
22.				TOTAL FILING FEE =	\$894	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26.				TOTAL FEE =	\$934	
Our Deposit Account No. 03-3975				PLEASE CHARGE OUR DEP. ACCT.		
Our Order No. 69816 304309						

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**

725 South Figueroa Street, Suite 2800
Los Angeles, CA 90017-5406
Tel: (213) 488-7100

By Atty: Seth D. Levy

Reg. No. 44,869

Sig: _____

Fax: (213) 629-1033
Tel: (213) 488-7131

SDL/SBK

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

CASTELLANO

Serial No.: Not yet assigned

Filed: September 26, 2003

For: **METHOD AND APPARATUS FOR
ADMINISTERING A VACCINE OR OTHER
PHARMACEUTICAL**

Group No.: Unknown

Examiner: Not yet assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

Express Mail Label No. EV 235021810 US

Date of Deposit: September 26, 2003

Mail-Stop PATENT APPLICATION

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that the following documents:

- X Letter of transmittal for U.S. patent application
- X Patent application (15 pages of specification; 1 page abstract; 28 claims)
- X Checks in the amount of \$750 and \$144 to cover the filing fees
- X An Assignment of the Invention with cover sheet, plus check for \$40 to cover the assignment recordation fee
- X A Declaration and Power of Attorney executed by the inventor
- X 5 sheets of formal drawings
- X Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

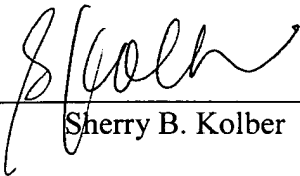
Mail-Stop PATENT APPLICATION

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Dated: September 26, 2003


Sherry B. Kolber